

## Working with Professional Partners

### Overview

Like AV Trinity, accountants, solicitors and other professional partners such as older care providers, have a duty of care to their individual and corporate clients and over the years we have built solid relationships with a number of professional firms who trust us to look after the financial affairs of many of their important clients.

- **INDEPENDENCE**- We are an award winning, independent, Chartered Financial Planning firm who are directly authorised and regulated by the Financial Conduct Authority (FCA)
- **REPUTATIONAL RISK**- We are fully aware of the need to protect the reputation and professional standards of the firms who entrust us to help their clients.
- **EXPERTISE** – We have a reputation for technical expertise and innovation, delivered in a clear and understandable format.
- **COMPLIANCE** - AV Trinity's compliance capability ensures that clients enjoy a fully integrated service regardless of the level of complexity of their needs. AV Trinity has adopted a relatively unique stance within the IFA market when meeting their regulatory obligations; we have an 'in-house' compliance director, Cliff Patterson who is also a Chartered Financial Planner. This is different to many IFAs who typically source compliance expertise via an external consultant.

However, given the impact of major regulatory changes over the last 10 years, our decision to bring compliance "in-house" ensures that regulatory requirements are implemented with due consideration being given to the needs of both the client and the business.

### In conclusion

Regulation and its implementation require an analytical and pragmatic approach. The members of the compliance department have many years of experience, which allows them to ensure that the clients of AV Trinity receive advice of the highest order. In addition this also ensures that our professional partners can feel confident that they can refer clients to a firm that understands the requirements placed upon them.



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**“Intelligent, Independent Financial Advice”**

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